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SEP 22 2003

OFFICE OF PETITIONS

TO: Wynette Stapor  
 PTO Customer Service

RE: Our Ref. NOR-951A  
 Serial No. 10/088,464

Pages (including cover page): 14

Fax No.: (703) 746-6880

FROM: Rhonda A. Etienne

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Date: September 5, 2003

SEP 05 2003

**MESSAGE:****Technology Center 2800**

Wynette:

As a follow-up to our telephone conversation today, attached is a complete copy of everything that I faxed to the PTO on June 10, 2002. Let me know if you have any questions and I look forward to your update.

Rhonda A. Etienne

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**TO:** Commissioner for Patents  
Office of Initial Patent Examination's  
Filing Receipt Corrections

**RE:** Our Ref.: NOR-951A  
Serial No. 10/088,464  
Conf. No. 8796  
Art Unit: 2879  
Applicants: Schmitkons et  
al.

**Pages (including cover page):** 12

**FROM:** David H. Brinkman

**Fax No.:** (703) 746-9195

**Date:** June 10, 2002

**MESSAGE:**

**Attachments:** Request for Corrected Filing Receipt (3 pages)  
Marked Up Replacement Filing Receipt (2 pages)  
Marked Up Notice of Acceptance of Application (2 pages)  
USPS Delivery Status Sheet (1 page)  
Copy of Express Mail Receipt (1 page)  
Copy of Return Postcard (1 page)  
Copy of Certificate of Express Mailing (1 page)

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K:\NOR951A\Faxcover PTO for corr filing receipt.wpd

\* \* \* COMMUNICATION RESULT REPORT ( JUN. 10. 2002 2:00PM ) \* \* \*

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**TO:** Commissioner for Patents  
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**RE:**

**Our Ref.: NOR-951A**  
**Serial No. 10/088,464**  
**Conf. No. 8796**  
**Att. Unit: 2070**

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Certificate of Facsimile

I hereby certify that this correspondence is being deposited via facsimile to The Commissioner for Patents, Attention: Office of Initial Patent Examination's Filing Receipt Corrections, whose facsimile number is (703) 746-9195 on June 10, 2002.

  
David H. Brinkman, Reg. No. 40,532 Date 6/10/02

PATENT

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SEP 22 2003

Applicants:	Schmitkons et al.	
International Application No.:	PCT/US00/25282, Filed September 15, 2000	OFFICE OF PETITIONS
Serial No.:	10/088,464	
Filed:	March 19, 2002	
Examiner:	Unknown	
Art Unit:	2879	
Confirmation No.:	8796	
Title:	APPARATUS AND METHOD FOR GENERATING ULTRAVIOLET RADIATION	
Atty Docket:	NOR-951A	

Cincinnati, Ohio 45202

June 10, 2002

Commissioner for Patents  
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Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the PTO in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

- 1 -

Several errors were noted in the Filing Receipt issued in connection with the above-identified application for which correction is respectfully requested:

1. The total number of claims is listed incorrectly as being "11" rather than the correct number of "29" as appears in the application.
2. The number of drawings is listed incorrectly as being "2" rather than the correct number of "4".
3. The filing date is listed incorrectly on the Replacement Filing Receipt and the Notice of Acceptance of Application under 35 U.S.C. 371 and 37 C.F.R. 1.494 or 1.495 as being "03/18/2002" rather than the correct date of "03/19/2002" as appears on all of the documentation filed with the Commissioner of Patents and Trademarks, Box PCT, copies attached. The United States Postal Service inadvertently put an incorrect date of 03/18/02 on the Express Mail Label. As evidenced from the delivery confirmation attached, the United States Patent and Trademark Office received the above-identified application on March 20, 2002, which indicates that the Express Mail was processed on March 19, 2002 by the United States Postal Service.

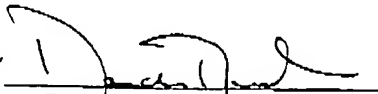
It is therefore respectfully requested that a new corrected filing receipt be issued to reflect corrections in (i) the total number of claims filed; (ii) the number of drawings; and (iii) the filing date.

It is believed that no fees are due in connection with this correction, however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY



David H. Brinkman

Reg. No. 40,532

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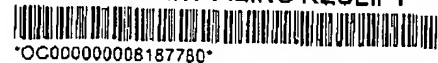
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/088,464	03/18/2002 03/19/2002	2879	956	Nor-951A	2 4	44 29	4

David H Brinkman  
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CONFIRMATION NO. 8796

## REPLACEMENT FILING RECEIPT



\*OC000000008187780\*

Date Mailed: 05/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James W. Schmitkons, Lorain, OH;  
James M. Borsuk, Westlake, OH;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/25282 09/15/2000  
WHICH CLAIMS BENEFIT OF 60/155,028 09/20/1999

## Foreign Applications

Projected Publication Date: Not Applicable. filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

## Title

Apparatus and method for generating ultraviolet radiation

## Preliminary Class

313

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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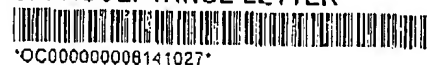
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U.S. APPLICATION NUMBER NO. 10/088,464	FIRST NAMED APPLICANT James W. Schmitkons	ATTY. DOCKET NO. Nor-951A
INTERNATIONAL APPLICATION NO. PCT/US00/25282		
I.A. FILING DATE 09/15/2000	PRIORITY DATE 09/20/1999	

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CONFIRMATION NO. 8796

371 ACCEPTANCE LETTER



\*OC000000006141027\*

Date Mailed: 05/21/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/19/2002 <del>03/18/2002</del>	03/19/2002 <del>03/18/2002</del>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Assignee Statement
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

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NO. 7062 F. 10

Page 2 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

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FORM PCT/DO/EO/903 (371 Acceptance Notice)



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Please place the official stamp of the Patent and Trademark Office on this card and return it to constitute acknowledgment/receipt of the document(s) listed below by the Patent and Trademark Office on the date stamped.

Applicant(s): Schmitkons et al.  
International Application No.: PCT/US00/25282  
International Filing Date: September 15, 2000  
Priority Date Claimed: September 20, 1999  
Title: APPARATUS AND METHOD FOR GENERATING  
ULTRAVIOLET RADIATION

Enclosures: Transmittal Letter to the United States Designated/Elected  
Office (DO/EQ/US) concerning a filing Under 35 U.S.C.  
§371 (in dupl.); executed Declaration, Power of Attorney,  
and Petition; Information Disclosure Statement; Form PTO  
1449; Copies of References (9); Recordation of  
Assignment; Executed Assignment; check in the amount  
of \$996.00 for the filing fee; Certificate of Express Mailing  
IEV026539530US); and a Return Postcard

Kevin G. Rooney, Esq. (Reg. No. 30,330)  
Wood, Herron & Evans, L.L.P.  
Dated: March 19, 2002

10/088464

IC2D Rec'd PCT/PTO 18 MAR 2002

## PATENT

Application Based on PCT/US00/25282  
Filed: March 19, 2002  
Applicant(s): James W. Schmitkons et al.  
Title: APPARATUS AND METHOD FOR GENERATING ULTRAVIOLET  
RADIATION  
Our Ref. No.: NOR-951A

Cincinnati, Ohio 45202

March 19, 2002

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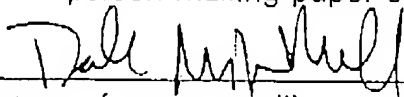
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